

NATIONAL RAILROAD PASSENGER CORPORATION

OFFICE OF INSPECTOR GENERAL

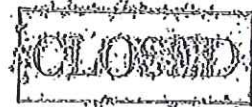
INVESTIGATIVE CLOSING REPORT

TITLE: Fraud

CASE NUMBER: 07-130

DATE OF REPORT: September 22, 2009

REPORT PREPARED BY: [REDACTED] *dy*



BACKGROUND:

The Office of Inspector General ("OIG"), Office of Investigations ("OI") received information alleging that [REDACTED] and [REDACTED] had arranged travel for persons not listed on their travel privilege passes. Information received by OI also indicated that [REDACTED] - [REDACTED], approved and had knowledge of these unauthorized trips.

SUMMARY OF INVESTIGATION:

1. OI met with [REDACTED] regarding the allegation. When questioned by OI regarding her involvement in granting free passage aboard the [REDACTED] to persons that were not ticketed or entitled to ride on a pass, [REDACTED] readily admitted granting [REDACTED] and [REDACTED] permission to allow their friends free passage aboard the [REDACTED]. [REDACTED] advised OI that she recalled having given [REDACTED] and [REDACTED] permission on at least three separate occasions.

2. OI interviewed [REDACTED] and [REDACTED] regarding the allegation. They confirmed that [REDACTED] had allowed their friends and/or significant others free passage aboard the [REDACTED]. [REDACTED] acknowledged that he had arranged for this type of travel on two occasions with [REDACTED]'s knowledge. [REDACTED] acknowledged that on two occasions he was permitted to transport his friends and/or significant others aboard the [REDACTED] with [REDACTED]'s knowledge.

3. OI Agents met with [REDACTED], [REDACTED] - [REDACTED], regarding the allegation. [REDACTED] advised OI that during his entire tenure as [REDACTED], he could recall only two instances wherein employees' friends and/or significant others were granted free or unticketed travel. Those two separate instances involved [REDACTED] and [REDACTED]. According to [REDACTED] managers previously had, on occasion, allowed [REDACTED] staff to bring friends and/or significant others as a tactic to encourage employees to work during holidays in an effort to combat crew shortages. In both instances, [REDACTED] stated that he became aware of these violations "after-the-fact."

[REDACTED], after becoming aware of the latest incident involving [REDACTED] advised OI that he met with [REDACTED] and subsequently took disciplinary action regarding [REDACTED]. [REDACTED] provided OI with a copy of a December 12, 2008 letter [copy attached] containing a written reprimand

which was placed in [REDACTED]'s personnel file documenting the disciplinary action taken against her in regard to her allowing individuals free or unticketed travel aboard the [REDACTED].

4. On August 28, 2009, OI submitted a management referral to [REDACTED]
[REDACTED]

5. On September 21, 2009, OI received a response to the August 28, 2009 referral from [REDACTED]. [Copy attached]

RECOMMENDATIONS:

The writer recommends that this case be closed with no further action pending receipt of additional information.

Supervisor: [REDACTED] Date: 9/23/09
Deputy Inspector General: [Signature] Date: 9/24/09

