

**NATIONAL RAILROAD PASSENGER CORPORATION
OFFICE OF THE INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS
INVESTIGATIVE REPORT**

TITLE: [REDACTED] Conflict or Interest CASE NUMBER: 05-025

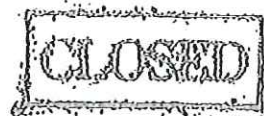
DATE OF REPORT: January 6, 2009

REPORT PREPARED BY: [REDACTED] *JG*

REPORT OF INTERVIEW:

REPORT OF DOCUMENTS:

OTHER ACTIVITY (DESCRIBE): CLOSING REPORT



ALLEGATION:

Amtrak Senior Director Procurement, [REDACTED] discovered the following while reviewing consultant and independent contractor spending. [REDACTED] has been a consultant to Amtrak for at least 3 years, most recently to the Engineering Department in Philadelphia. The Amtrak Committee which reviews consultants and independent contractors questioned her necessity back in September of 2003. The Committee extended her use for no longer than three months. To date she is still on the property working for [REDACTED] in the Engineering Department. Also, it is rumored that [REDACTED] formed her own company, "Encada, LLC". After researching payment files, [REDACTED] found two invoices for professional services from "Encada, LLC" were submitted to [REDACTED], Amtrak Philadelphia in 2004. He wonders if this is [REDACTED]'s company and is her company being used to circumvent the system.

FACTS:

The allegations were substantiated. OI's investigation revealed that [REDACTED] established Encada. Additionally, OI determined that [REDACTED] is a current reference for Encada. OI found records that revealed that [REDACTED] was using Encada's business address, which was also [REDACTED]'s home address, [REDACTED], as his home address on business documents.¹ This investigation disclosed that [REDACTED] dated, and subsequently married, [REDACTED]. [REDACTED] has given job reference information to internal Amtrak management regarding [REDACTED]'s qualifications. [REDACTED] works on Amtrak projects that interact with the same projects [REDACTED] is working on. OI found that high-level members of the Amtrak Technologies ("AT") Department, which has been changed to the Information Technologies ("IT")

¹ See Exhibit 1 (Taxi receipt dated September 21, 2004, submitted by [REDACTED] which reveals [REDACTED] was dropped off at 9:30 p.m. at [REDACTED], the Encada business address). See Exhibit 2 (A property inspection report dated March 30, 2004, for [REDACTED], which indicates that [REDACTED] was living at [REDACTED]).

Department, shared their computer access codes with [REDACTED]. [REDACTED] also shared his computer access code with [REDACTED]. OI's investigation further revealed that [REDACTED] used [REDACTED], former [REDACTED] as a professional reference for Encada. OI's investigation found that while [REDACTED] supervised work being performed by Optram for the Engineering Department, [REDACTED] worked for [REDACTED] as a contractor.

CORRECTIVE ACTION TAKEN:

[REDACTED], [REDACTED], took corrective action see Exhibit 1.

[REDACTED], [REDACTED], took corrective action see Exhibit 2.

[REDACTED], VP Human Resources and Diversity, at first indicated that she wanted to take further action above what [REDACTED] and [REDACTED] had done but after a second look she decided not to take any further action. See Exhibit 3.

RECOMMENDATIONS:

With no further leads or developments this case should be closed pending any new request for assistance.

Deputy Inspector General/Counsel: *CLL* 1/8/2009

